

RISK CATEGORISATION POLICY

As per PMLA Policy, We categories the clients into different risk category with reference to their possibilities of having undisclosed income and possibilities of having any kind of connection with underworld. We assign them high category client which is exhibited in our back office as well by “2” in client master. The clients who are having not very clearly known sources income are categorized as medium which is exhibited in our back office by “1” in client master .

We have all retail clients and they are known to us fully . They are known to us or our branch manager fully. Their source of income , net worth etc. are generally known to us & therefore we have categories them as Low Category and we carry out due diligence of each and every client and accordingly to the risk profile of the client , their trading limits are set up in trading terminal .